

# DEVELOPMENT OF A DEEP TRENCH RIE ETCH FOR CAPACITOR AND ISOLATION TECHNOLOGIES

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## ABSTRACT

A silicon trench 2um deep was etched in a PlasmaTherm 2406 RIE tool using an SF<sub>6</sub>/CO<sub>2</sub> chemistry with an oxide mask. The single crystal silicon etch rate was 1100Å/min, with a high selectivity to oxide. A trench slope approximately 50 degrees was obtained, with no undercut of the oxide mask.

## INTRODUCTION

The development of trenches for capacitors and device isolation is essential to meet the demands for increased circuit densities. Continued shrinking of device sizes may be limited by the size of capacitors and isolation areas. One such application where this is evident is a capacitive structure for DRAM cells. Since there is a minimum charge necessary for reliability concerns, the gate area of the capacitor cannot be reduced into the submicron range [1]. Thus for the two dimensional DRAM, shown in Figure 1, the area of stored charge occupies significant portion of the cell. In order to solve this problem, a trench capacitor can be used to minimize the area occupied on the surface, while still maintaining the necessary charge in the device. A trench capacitor is a device that utilizes a third dimension (depth into the silicon), so that it consumes less area on the surface of the wafer and still meets the minimum requirements for stored charge.

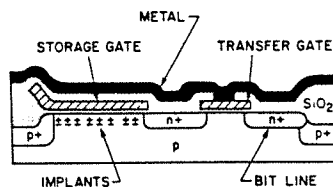


Figure 1: DRAM cell with two dimensional capacitance [2].

To create a trench capacitor, a trench with a relatively high aspect ratio (meaning a large depth etched from a narrow opening) is needed. A Reactive Ion Enhanced (RIE) etch with high selectivity to the oxide mask is needed to form the deep trench etch with vertical sidewalls. The etched trenches will then be filled with a dielectric and polysilicon for the capacitor structure.

In order for the fill to be successful, the resultant profile should have a sidewall slope around 87 degrees and be slightly rounded at the bottom to reduce stress effects [3]. If the slope is greater than 87 degrees, the filling of the trench will be pinched off, due to corner enhanced oxidation during the oxide growth and the conformal properties of the polysilicon filling the trench. A rounded profile at the bottom of the trench is desired for filling purposes as well, and can be obtained with a brief isotropic etch at the end of the RIE etch [4]. A DRAM cell with a trench capacitor is illustrated in Figure 2, along with the critical concerns of trench formation.

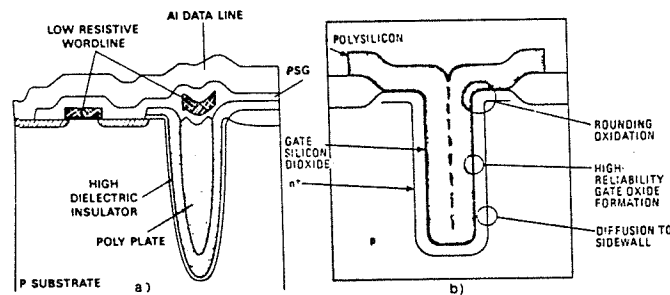


Figure 2: DRAM trench capacitor and critical areas [5].

Common isolation techniques are also obstacles to smaller dimensions. In particular, the LOCOS process can not be made smaller as easily as capacitors, because of the bird's beak. The bird's beak is a phenomenon that comes from lateral oxidation that can narrow device regions when smaller geometries are attempted. This growth laterally is as long as the total oxidation is high, thus not allowing it to be diminished or eliminated without a more complicated process. Trench isolation can go deeper into the substrate in a smaller horizontal dimension and give the same, if not better, isolation. Figure 3 shows the bird's beak effect from LOCOS limiting the active region to about 1.5 $\mu$ m. Also shown is the trench isolation does not encroach on the active area and allows for narrower channels. A trench for isolation is formed with the same etch as the capacitors, but the trench is commonly filled with a dielectric only.

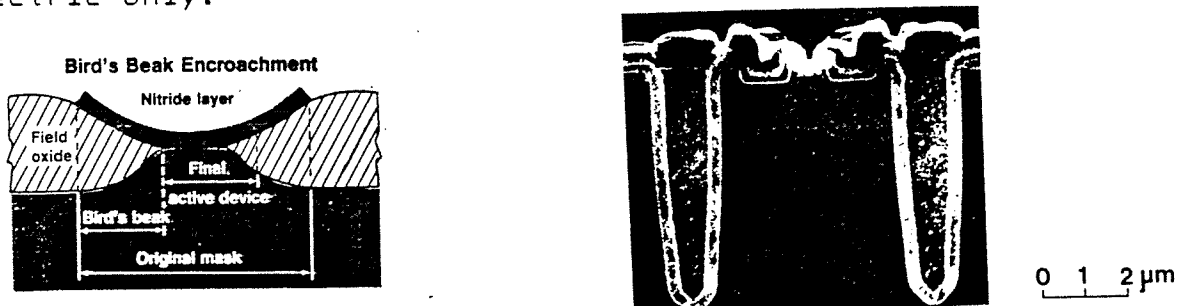


Figure 3: LOCOS isolation versus Trench isolation [6].

To form a deep trench with vertical sidewalls a RIE process is required which removes silicon from the horizontal surfaces while forming polymers on the sidewalls. Gases commonly used in the industry include: HBr, NF<sub>3</sub>, CF<sub>4</sub>/O<sub>2</sub>, Cl<sub>2</sub>, CCl<sub>4</sub>, CCl<sub>3</sub>F, or SF<sub>6</sub>/CBrF<sub>3</sub>. Chlorine is the primary etchant because of its slow

reactivity on the sidewalls, thus producing a more anisotropic etch through sidewall passivation. It has been reported in literature that problems with bowing in the trench exists. The phenomenon of bowing can be seen by the rounded edges inside the trench, as shown in Figure 4. One cause for bowing is that at higher pressures there are more molecular interactions in the plasma, so that the higher chance of collision and scattering cause the etching of the sidewalls below the surface. This problem, however, has not been evident in trench openings greater than 2um wide [7].

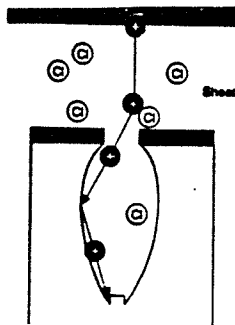


Figure 4: Trench bowing effect [8].

Since the gases mentioned above are primarily hazardous, they were not available for use in our lab. A standard SF<sub>6</sub>/O<sub>2</sub> process for isotropic (same etch rate in all directions) polysilicon etching cannot produce vertical sidewalls. Improving the anisotropy was investigated by reducing pressure and increasing power to encourage more ionic bombardment. This led to the use of CO<sub>2</sub> to create a situation for sidewall passivation, due to the unsuccessful attempts with modifying the standard isotropic process. The CO<sub>2</sub> will dissociate in the plasma and some of the C in the plasma will react with the F ions generated from the dissociation of the SF<sub>6</sub> in the plasma, this can form CF<sub>2</sub> to polymerize the sidewalls of the trench during the etch.

## EXPERIMENT

Twelve 3", <100> silicon wafers were obtained and scribed with DT-1 to DT-15. Approximately 6000Å of thermal oxide was grown on the wafers. They were then coated with 1.2um of KTI-820 positive photoresist. The photoresist was patterned with a test mask containing various sizes of line/space pairs and square openings. After patterning the photoresist, the oxide mask was etched in the RIE tool. For all the etches the substrate cooling was set at its minimum, which kept the temperature between 16-18C. The process parameters used were CHF<sub>3</sub> flow of 60sccm, C<sub>2</sub>F<sub>6</sub> flow of 171sccm, pressure of 127mT, and power of 350W. After etching the oxide mask, the remaining photoresist was ashed off half of the wafers, in order to examine the effects of extra carbon in the plasma for creating sidewall passivation.

Two wafers were then etched with the SF<sub>6</sub>/O<sub>2</sub> chemistry to explore the anisotropy of the etch. Wafer DT-1, which had the patterning resist it was etched for 700 sec with conditions of SF<sub>6</sub> flow of 30sccm, O<sub>2</sub> flow of 10sccm, pressure of 100mT, Power of 300W. Wafer DT-2 was etched for about 240 sec with the following

process: SF6 flow of 10sccm, O2 flow of 3sccm, pressure of 60mT, power of 250W.

Seven wafers saw the addition of various amounts of CO2 to the etch process used for DT-2. Recall that some of these wafers still had the resist layer, thus not only was CO2 varied in this experiment, but the presence or absence of resist as well. Table 1 shows the variation in CO2 flow for these seven wafers. Finally, wafer DT-15 was etched for 30 minutes with the power increased to 350W, and a CO2 flow of 30sccm. The last 2 wafers were lost.

| Wafer # | Mask       | CO2 flow(sccm) | Etch Time(min) |
|---------|------------|----------------|----------------|
| DT-4    | Oxide      | 5              | 10             |
| DT-8    | Oxide      | 10             | 15             |
| DT-9    | Oxide      | 20             | 15             |
| DT-10   | Oxide      | 40             | 10             |
| DT-12   | PR + Oxide | 5              | 10             |
| DT-13   | PR + Oxide | 20             | 15             |
| DT-14   | PR + Oxide | 40             | 20             |

Table 1: Experimental Parameters for the SF6 + CO2 runs.

## RESULTS/DISCUSSION

The wafers were evaluated with an Alpha-Step Profilometer and a SEM for verification of trench depths and profiles. For the wafers etched with SF6 and O2, there were Si etch rates (vertical) of 1-1.5um/min, with the latter etch rate for wafer DT-2. As expected, the etch was almost perfectly isotropic, etching only twice as fast vertically, as horizontally. The etch depths for DT-1 and 2 were 10 and 6um respectively, with the undercutting of the oxide mask being of approximately 5 and 3um. This can be seen in the corresponding SEM micrographs in Figures 5 and 6.

The CO2 addition proved to be successful in passivating the sidewall, with the etch rate decreasing significantly with the increased CO2 flow. The results of this experiment are shown in Table 2 below, with the etch rates(ER) in A/min.

| Wafer # | Vert ER | Hor ER | Depth | Undercut | Fig # |
|---------|---------|--------|-------|----------|-------|
| DT-4    | 6000    | 3400   | 6     | 3.4      | -     |
| DT-8    | 5700    | --     | 8.5   | -        | -     |
| DT-9    | 3600    | 1200   | 5.4   | 1.8      | 7     |
| DT-10   | 6000    | --     | 1.5   | -        | -     |
| DT-12   | 5300    | 3400   | 5     | 3.4      | 8     |
| DT-13   | 5000    | --     | 7.5   | -        | -     |
| DT-14   | 1100    | -900   | 2.5   | -        | 9     |

Table 2: Data for SF6/CO2 experiment

Overall, the CO2 addition had a dramatic impact on the sidewall slope, with results that predict a better process. From a graph of the vertical and horizontal etch rates, shown in Figure 11 at the end, it can be seen that the horizontal etch rate approaches zero around a F::C ratio of 2.6::1. This ratio is theoretical, but it predicts a process flow with 13 sccm of SF6 and 30 sccm of CO2. With these flows and the same etch parameters used for the seven

wafers in the CO<sub>2</sub> experiment, vertical sidewalls should be obtained. This result was verified by an equation developed to express the anisotropy of the trench. This relationship, as shown on the graph in the appendix, shows that 100% anisotropy (or a vertical sidewall) would occur around a F:C ratio of 2:1, which is very similar to the results obtained from the etch rates alone. Figures 7, 8, and 9 show the change in the profile as the CO<sub>2</sub> flow was increased for wafers DT-9, 12 and 14, respectively. The polymer formation on wafer DT-14 is shown in Figure 10.

## CONCLUSIONS

The SF<sub>6</sub>/CO<sub>2</sub> chemistry proved to be an effective method for obtaining a more anisotropic trench profile, when compared to the SF<sub>6</sub>+O<sub>2</sub> chemistry. From the etch rates obtained during the CO<sub>2</sub> experiments, it can be concluded that an optimum process would be: SF<sub>6</sub> flow of 13sccm, CO<sub>2</sub> flow of 30sccm, pressure of 60mT, power of 250W. This process should be attempted, with a wafer patterned with photoresist on about 6000Å of oxide. The trench obtained, however, may have extreme problems with polymer formations, that cannot be removed. A method should be investigated to remove of these polymers, along with possibly trying a CF<sub>4</sub>/O<sub>2</sub> chemistry.

## ACKNOWLEDGMENTS

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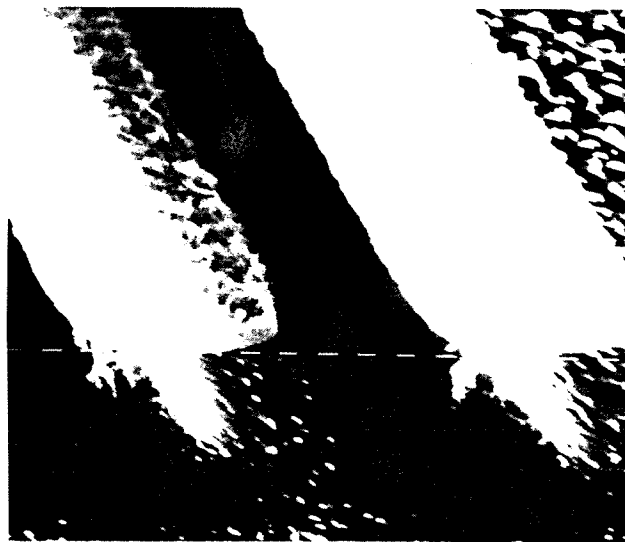


Figure 5: Mag 2500X, Scale 1.6um/div

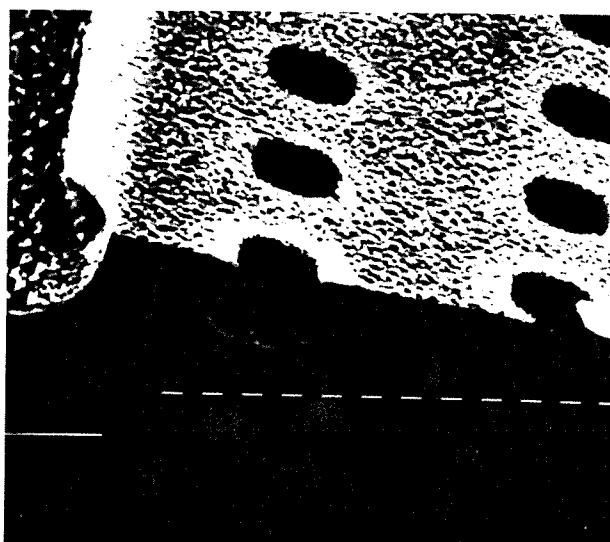


Figure 6: Mag 2500X, Scale 1.7um/div

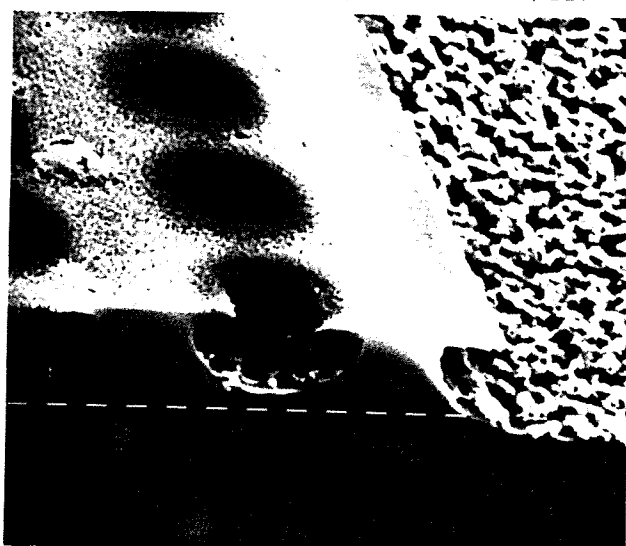


Figure 7: Mag 2500X, Scale 1.7um/div

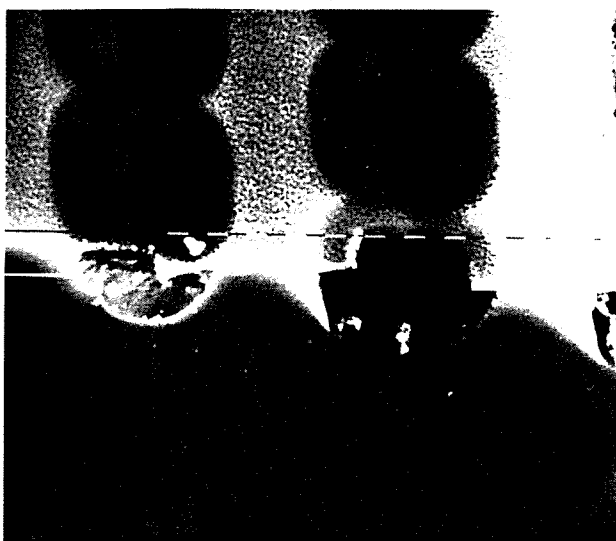


Figure 8: Mag 2500X, Scale 1.8um/div

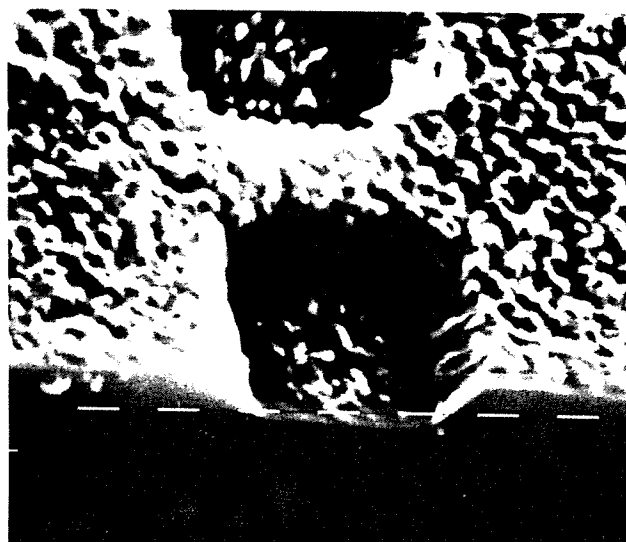


Figure 9: Mag 5000X, Scale 1.9um/div



Figure 10: Mag 2500X, Scale 1.9um/div

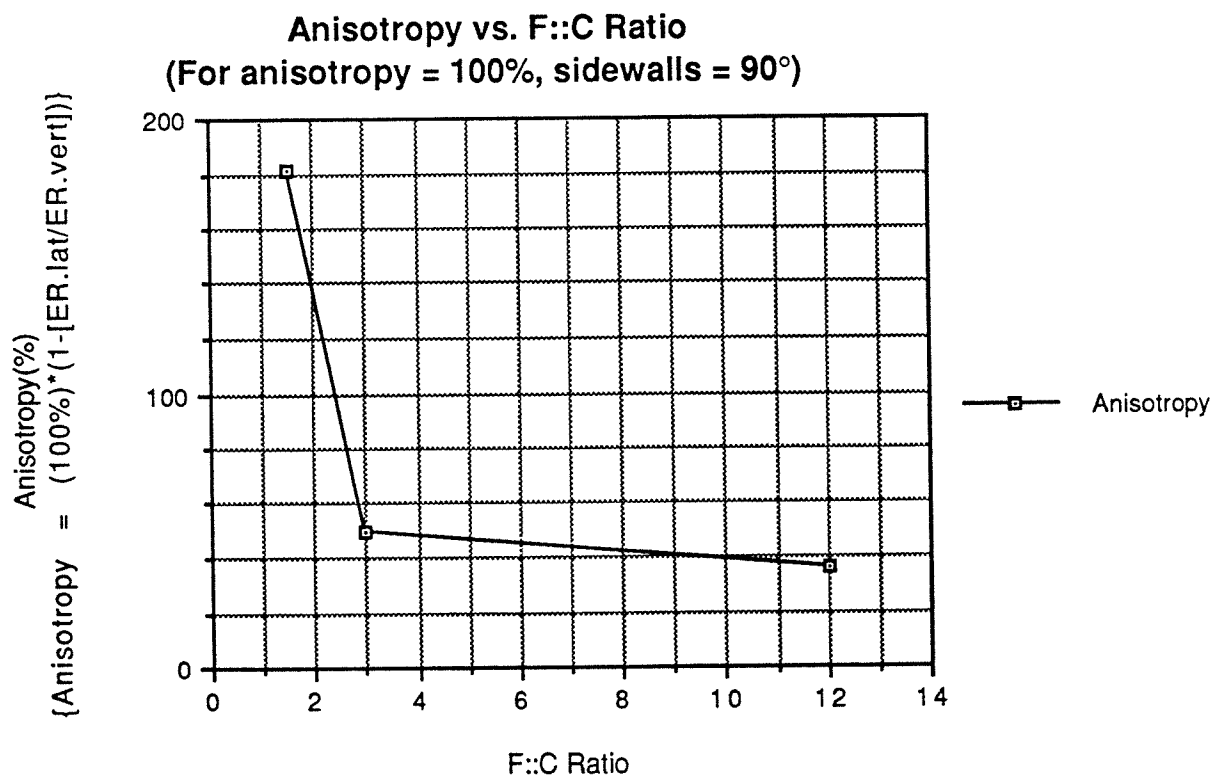
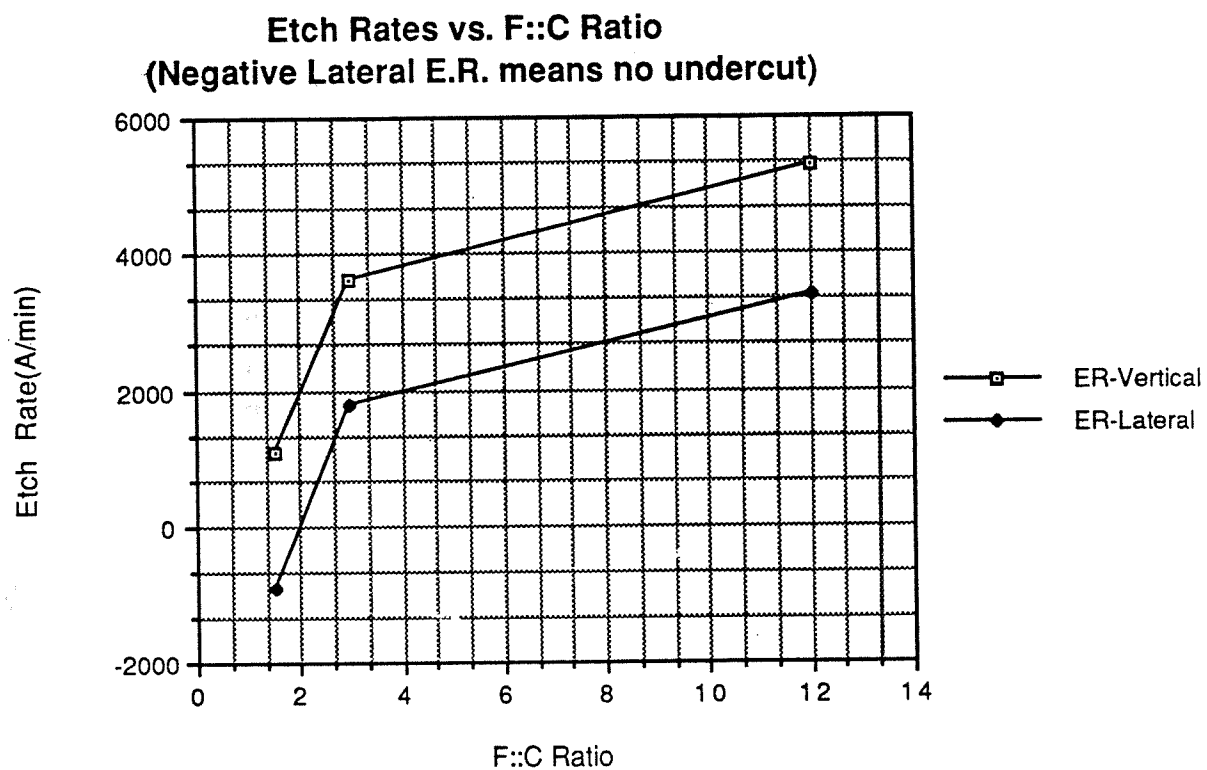


Figure 11: Graphs of etch rate results